PTO/SB/17 (12-04) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004. pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 09/127,256 Application Number FEE TRANSMITTAL July 31, 1998 Filing Date William Elkins First Named Inventor For FY 2005 L. Leo **Examiner Name** igwedge Applicant claims small entity status. See 37 CFR 1.27 3753 Art Unit TOTAL AMOUNT OF PAYMENT (\$)290.00 COO-1 Attorney Docket No. **METHOD OF PAYMENT** (check all that apply) Credit Card Money Order None Other (please identify): _ Deposit Account Deposit Account Number: 50-1947 Deposit Account Name: Law Office of Harry J. Macey For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) | Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES SEARCH FEES Small Entity Small Entity Small Entity Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) 300 500 Utility 150 250 200 100 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 100 Provisional 200 0 2. EXCESS CLAIM FEES Small Entity Fee Description Fee (\$) Fee (\$) Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 Multiple dependent claims 180 Fee Paid (\$) **Total Claims** Extra Claims **Multiple Dependent Claims** Fee (\$) 7 - 20 or HP = 0 x 0

HP = highest number of total claims paid for, if greater than 20 Fee (\$) Fee Paid (\$) Indep. Claims Extra Claims Fee (\$) Fee Paid (\$) - 3 or HP = 0 0 HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) (round **up** to a whole number) x 4. OTHER FEE(S) Fee Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other: Extension 37CFR 1.17(a)(1) (\$55); Terminal Disclaimer 37CFR 1.20(d) (\$55); IDS 37CFR 1.17(p)(\$180) SUBMITTED BY Registration No. 32,818 Signature Telephone 650-654-9555

(Attorney/Agent) Name (Print/Type) Macey Date January 14, 2005

This collection of information is required by 37 GFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Attorney Docket No.: COO-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail under 37 CFR 1.10 on the date indicated above in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313.

____ Harry Macey

In re Application of:

William Elkins

Serial No.: 09/127,256

Filing Date: July 31, 1998

Title: COMPLIANT HEAT EXCHANGE

PANEL

Examiner: L. Leo

Group Art Unit: 3753

TRANSMITTAL

Mail Stop Amendment Commissioner for Patents Alexandria, VA 22313

Sir:

Transmitted herewith are the following:

- 1. Fee Transmittal (1 pg) in duplicate
- 2. Amendment (8 pgs)
- 3. Terminal Disclaimer (1 pg)
- 4. Certificate Under 37 CFR 3.73(b) (2 pgs) and copy of the Assignment and Chain of Title Documents (5 pgs)
- 5. Supplemental Information Disclosure Statement (3 pgs)
- 6. Form 1449A (1 pg) and a copy of all cited documents
- 7. Return Postcard
- [X] Authorization to charge \$290.00 for the terminal disclaimer under 37 CFR 1.20(d) (\$55.00), extension under 37 CFR 1.17(a)(1) (\$55.00), and Supplemental Information Disclosure Statement 37 CFR 1.17(p) to Deposit Account No. 50-1947 is provided on the Fee Transmittal. A duplicate copy of that document is enclosed for that purpose.

[X] The Commissioner is hereby authorized to charge any <u>additional</u> fees which may be required, or credit any <u>overpayment</u>, to Deposit Account <u>No. 50-1947</u>, referencing Attorney Docket No. <u>COO-1</u>.

Respectfully submitted,

Date: January 14, 2005

Harry J. Macey Registration No. 32,818

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